

Department of Mental Health – Rules Affecting Small Business

Rule	Purpose
9 CSR 10-190 Background screening for employees and volunteers	Establishes standards for obtaining background screening for certain staff and volunteers in Department facilities and programs licensed, certified and/or funded by the Department.
9 CSR 10-5.200 Report of Complaints of Abuse, Neglect and Misuse of Funds/Property	Prescribes procedures for reporting and investigating complaints of abuse, neglect and misuse of funds/property in programs licensed, certified and/or funded by the Department.
9 CSR 10-5.206 Report of Events	Prescribes procedures for documenting, reporting, analyzing and addressing certain events that affect individuals in programs licensed, certified and/or funded by the Department.
9 CSR 10-7.010 Treatment Principles and Outcomes	Describes treatment principles and outcomes in Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.020 Rights, Responsibilities, and Grievances	Describes the rights of individuals being served and grievance procedures in Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.030 Service Delivery Process and Documentation	Describes requirements for the delivery and documentation of services in Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.040 Quality Improvement	Describes requirements for quality improvement activities in Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.050 Research	Establishes standards and procedures for conducting research in Alcohol and Drug Abuse Treatment Programs, Comprehensive

Rule	Purpose
	Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.060 Behavior Management	Establishes requirements for use of restraint, seclusion and time out in Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.070	Describes training and procedures for the proper storage, use and administration in Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.080 Dietary Service	Establishes dietary and food service requirements in Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.090 Governing Authority and Program Administration	Describes requirements for and responsibilities of the governing body in Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.100 Fiscal Management	Describes fiscal policies and procedures for Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community

Rule	Purpose
	Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.110 Personnel	Describes personnel policies and procedures for Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.120 Physical Plant and Safety	Describes requirements for the physical facilities and safety in Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.130 Procedures to Obtain Certification	Describes procedures to obtain certification as Alcohol and Drug Abuse Treatment Programs, Comprehensive Substance Treatment and Rehabilitation Programs, Compulsive Gambling Treatment Programs, Substance Abuse Traffic Offender Programs, Required Education Assessment and Community Treatment Program, Community Psychiatric Rehabilitation Programs Community Psychiatric Rehabilitation Programs and Psychiatric Outpatient Programs.
9 CSR 10-7.140 Definitions	Defines terms used in the certification of psychiatric and substance abuse programs, which includes specifying qualification criteria.
9 CSR 10-31.011 Standard Means Test	Prescribes a standard means test, as required by section 630.210, RSMo, to determine amounts to be charged for services provided by the Department.
9 CSR 25-4.040 Recovery of Overpayments to Providers	Prescribes requirements for the collection of overpayments made by the Department to providers and for the assessment of interest charges on overpayments.
9 CSR 30-2.010 Designation of Programs to Receive County Community Mental Health Funds	Prescribes the procedures to be used to designate programs eligible to receive county community mental health funds as set out in sections 205.975 to 205.990, RSMo.
9 CSR 30-3.022 Transition to Enhanced	Describes procedures for programs currently certified to transition to enhanced standards of care.

Rule	Purpose
Standards of Care	
9 CSR 30-3.032 Certification of Alcohol and Drug Abuse Programs	Identifies the types of substance abuse programs eligible for certification and the applicable requirements.
9 CSR 30-3.100 Service Delivery Process and Documentation	Describes requirements in the delivery and documentation of services for certified alcohol and drug abuse programs.
9 CSR 30-3.110 Service Definitions and Staff Qualifications	Defines and describes services provided at certified treatment and rehabilitation programs.
9 CSR 30-3.120 Detoxification	Describes the goals, eligibility and discharge criteria, levels of care and performance indicators for detoxification programs.
9 CSR 30-3.130 Outpatient Treatment	Describes the levels of outpatient care that may be certified and the goals, eligibility criteria, and available services.
9 CSR 30-3.132 Opioid Treatment Program	Describes the specific functions, policies and practices required for methadone treatment programs.
9 CSR 30-3.134 Compulsive Gambling Treatment	Describes the specific service delivery requirements for compulsive gambling treatment.
9 CSR 30-3.140 Residential Treatment	Describes the goals, eligibility and discharge criteria, available services, and performance indicators for residential treatment.
9 CSR 30-3.190 Specialized Program for Women and Children	Establishes requirements relative to specialized substance abuse programs for women and children.
9 CSR 30-3.192 Specialized Program for Adolescents	Establishes requirements relative to specialized substance abuse programs for adolescents.
9 CSR 30-3.201 Substance Abuse Traffic Offender Program	Establishes requirements relative to the substance abuse traffic offender program.
9 CSR 30-3.202 SATOP	Establishes administrative procedures and practices in the operation of substance abuse traffic offender programs.

Rule	Purpose
Administration and Service Documentation	
9 CSR 30-3.204 SATOP Personnel	Describes the personnel, policies, staff qualifications and training requirements in substance abuse traffic offender programs.
9 CSR 30-3.206 SATOP Program Structure	Establishes basic requirements and structure for substance abuse traffic offender programs.
9 CSR 30-3.208 SATOP Supplemental Fee	Establishes a supplemental fee that shall be collected by all certified substance abuse traffic offender programs.
9 CSR 30-3.230 Required Educational Assessment and Community Treatment Program	Establishes requirements relative to required educational assessment and community treatment programs.
9 CSR 30-3.300 Prevention Program	Identifies the expected outcomes, strategies and operational requirements for prevention programs.
9 CSR 30-4.010 Definitions	Defines the certain terms regarding the certification standards for mental health agencies.
9 CSR 30-4.020 Procedures to Obtain Certification	Describes the procedure to obtain certification from the Department for mental health agencies.
9 CSR 30-4.030 Certification Standards Definitions	Defines terms and explains usage rules for those terms used in certification procedures and standards for community psychiatric rehabilitation programs and certain services serving persons with serious mental illnesses and disorders.
9 CSR 30-4.031 Procedures to Obtain Certification for Centers	Describes procedures to obtain certification from the Department for community psychiatric rehabilitation programs.
9 CSR 30-4.032 Administration	Sets out the responsibilities and authority of the governing body and director of a community psychiatric rehabilitation program.
9 CSR 30-4.033 Fiscal Management of Community Psychiatric Rehabilitation	Prescribes fiscal policies and procedures for community psychiatric rehabilitation programs.

Rule	Purpose
Programs	
9 CSR 30-4.034 Personnel and Staff Development	Prescribes personnel policies and procedures for community psychiatric rehabilitation programs.
9 CSR 30-4.035 Client Records of a Community Psychiatric Rehabilitation Program	Prescribes the content requirements of a clinical record maintained by a community psychiatric rehabilitation program.
9 CSR 30-4.038 Client Rights for Community Psychiatric Rehabilitation Programs	Describes client rights and confidentiality requirements for community psychiatric rehabilitation programs.
9 CSR 30-4.039 Service Provision	Sets out the requirements for the provision of community psychiatric rehabilitation services.
9 CSR 30-4.040 Quality Assurance	Sets out requirements for quality assurance activities and functions for community psychiatric rehabilitation programs.
9 CSR 30-4.041 Medication Procedures at Community Psychiatric Rehabilitation Programs	Sets out procedures to safely record, store and administer medications at a community psychiatric rehabilitation program facility site or in off-site situations.
9 CSR 30-4.042 Admission Criteria	Establishes criteria and procedures for admission of eligible individuals to a community psychiatric rehabilitation program.
9 CSR 30-4.043 Treatment Provided by Community Psychiatric Rehabilitation Programs	Sets policies and procedure requirements relating to psychiatric treatment services provided by community psychiatric rehabilitation programs.
9 CSR 30-4.045 Intensive Community Psychiatric Rehabilitation	Sets forth standards and regulations for the provision of intensive community psychiatric rehabilitation service.
9 CSR 30-4.046	Provides standards for psychosocial rehabilitation programs

Rule	Purpose
Psychosocial Rehabilitation	operated as part of a community psychiatric rehabilitation program.
9 CSR 30-4.047 Community Support	Sets out requirements for community support services provided by a community psychiatric rehabilitation program.
9 CSR 30-4.160 Client Records	Prescribes the contents to be found in client records.
9 CSR 30-4.190 Treatment	Prescribes policies and procedures for outpatient mental health programs.
9 CSR 40-1.015 Definitions	Defines terms and explains usage rules for terms used in licensure procedures for all licensed community residential facilities and day programs.
9 CSR 40-1.055 Licensing Procedures	Establishes procedures for applying for and obtaining a license.
9 CSR 40-1.105 Implementation of Licensing Authority for Certain Day Programs and Community Residential Facilities	Clarifies what types of facilities are subject to licensure and the relationship of licensure with community residential facilities and day programs that are licensed or certified by state agencies.
9 CSR 40-2.015 Resident and Client Rights	Prescribes rights of consumers in community residential facilities or day programs.
9 CSR 40-2.075 Administrative Policies and Procedures	Prescribes requirements for policies and procedures governing the operation of all community residential facilities and day programs.
9 CSR 40-3.115 Admission Criteria	Prescribes criteria for admission in a community residential facility.
9 CSR 40-3.135 Care, Treatment, Habilitation and Rehabilitation	Prescribes requirements for services and supports in all community residential facilities.
9 CSR 40-4.095 Recordkeeping	Prescribes requirements for a uniform system of record keeping in all community residential facilities and psychiatric group homes II.
9 CSR 40-4.115 Admission Criteria	Prescribes criteria for admission in all community residential facilities except psychiatric group homes II.
9 CSR 40-4.116	Prescribes criteria for admission in psychiatric group homes II.

Rule	Purpose
Admission Criteria for Psychiatric Group Homes II.	
9 CSR 40-4.135 Care, Treatment, Habilitation and Rehabilitation	Prescribes requirements for services and supports in all community residential facilities and psychiatric group homes II.
9 CSR 40-4.145 Maintenance, Housekeeping and Laundry	Prescribes maintenance, housekeeping and laundry requirements for all community residential facilities and psychiatric group homes II.
9 CSR 40-4.155 Fire Safety	Prescribes fire safety requirements for all community residential facilities and psychiatric group homes II.
9 CSR 40-5.015 Physical Plant	Prescribes physical plant requirements in certain community residential facilities.
9 CSR 40-5.035 General Medical and Health Care	Prescribes general medical and health care requirements in certain community residential facilities.
9 CSR 40-5.055 Food Service	Prescribes food service requirements in certain community residential facilities.
9 CSR 40-5.075 Adequate Staff	Prescribes requirements for personnel employed in certain community residential facilities.
9 CSR 40-6.015 Physical Plant	Prescribes physical plant requirements for community residential facilities serving fewer than four residents.
9 CSR 40-6.035 General Medical and Health Care	Prescribes general medical and health care requirements for community residential facilities serving fewer than four residents.
9 CSR 40-6.055 Food Services	Prescribes food service requirements for community residential facilities serving fewer than four residents.
9 CSR 40-6.075 Adequate Staff	Prescribes requirements for personnel of community residential facilities serving fewer than four residents.
9 CSR 40-7.015 Physical Plant	Prescribes physical plant requirements for semi-independent living arrangements.
9 CSR 40-7.035 General Medical and Health Care	Prescribes general medical and health care requirements for semi-independent living arrangements.
9 CSR 40-7.055 Food Services	Prescribes food service requirements for semi-independent living arrangements.
9 CSR 40-7.075 Adequate Personnel	Prescribes requirements for personnel employed in semi-independent living arrangements.
9 CSR 40-8.075 Adequate Staff	Prescribes requirements for personnel employed in a psychiatric group home.
9 CSR 40-9.015	Prescribes physical plant requirements in day programs.

Rule	Purpose
Physical Plant Requirements	
9 CSR 40-9.035 General Medical and Health Care	Prescribes general medical and health care requirements in day programs.
9 CSR 40-9.055 Food Service	Prescribes food service requirements in day programs.
9 CSR 40-9.075 Adequate Staff	Prescribes requirements for personnel employed in day programs.
9 CSR 40-9.095 Recordkeeping	Prescribes requirements for a uniform system of recordkeeping in day programs.
9 CSR 40-9.115 Admission Criteria	Prescribes criteria for the admission to day programs.
9 CSR 40-9.135 Care, Treatment, Habilitation and Rehabilitation	Prescribes requirements for client care, treatment, habilitation and rehabilitation in day programs.
9 CSR 40-9.145 Maintenance, Housekeeping and Laundry	Prescribes maintenance, housekeeping and laundry requirements in day programs.
9 CSR 40-9.155 Fire Safety	Prescribes fire safety requirements in day programs.
9 CSR 40-10.015 Physical Plant Requirements	Prescribes physical plant requirements in day programs serving people who are mentally ill or mentally disordered.
9 CSR 40-10.035 General Medical and Health Care	Prescribes general medical and health care requirements in day programs serving people who are mentally ill or mentally disordered.
9 CSR 40-10.055 Food Service	Prescribes food service requirements in day programs serving people who are mentally ill or mentally disordered.
9 CSR 40-10.075 Adequate Staff	Prescribes requirements for personnel employed in day programs serving people who are mentally ill or mentally disordered.
9 CSR 40-10.095 Recordkeeping	Prescribes requirements for a uniform system of recordkeeping in day programs serving people who are mentally ill or mentally disordered.
9 CSR 40-10.115 Admission Criteria	Prescribes criteria for the admission to day programs serving people who are mentally ill or mentally disordered.
9 CSR 40-10.135 Care, Treatment, Habilitation and Rehabilitation	Prescribes requirements for client care, treatment, habilitation and rehabilitation in day programs serving people who are mentally ill or mentally disordered.
9 CSR 40-10.145	Prescribes maintenance, housekeeping and laundry requirements

Rule	Purpose
Maintenance, Housekeeping and Laundry	in day programs serving people who are mentally ill or mentally disordered.
9 CSR 40-10.155 Fire Safety	Prescribes fire safety requirements in day programs serving people who are mentally ill or mentally disordered.
9 CSR 45-3.010 Individualized Habilitation Plan Procedures	Prescribes procedures for development and implementation of individualized habilitation plans for all individuals receiving services from the Division on Mental Retardation and Developmental Disabilities.
9 CSR 45-3.020 Individualized Supported Living Services – Definitions	Provides definitions pertaining to the requirements for certification as a provider of individualized supported living services reimbursed under Missouri’s Medicaid waiver for persons with mental retardation or other developmental disabilities.
9 CSR 45-3.030 Individualized Supported Living Services – Individual Rights	Sets forth the rights of persons receiving individualized supported living services.
9 CSR 45-3.060 Autism Services	Establishes programs and services for persons with autism and their families.
9 CSR 45-3.070 Certification of Medication Aides Serving Persons with Developmental Disabilities	Prescribes the training and certification requirements for medication aides.
9 CSR 45-4.010 Residential Rate Setting	Prescribes procedures for establishing per-diem base rates for certain waiver and nonwaiver residential providers that accept persons with mental retardation under the Department’s community placement program.
9 CSR 45-4.020 Development of Intermediate Care Facilities for Persons with Mental Retardation	Prescribes procedures on development of intermediate care facilities for persons with mental retardation.
9 CSR 45-5.010 Certification of Medicaid Agencies Serving Persons with Developmental	Defines terms, establishes principles and sets out the process by which Medicaid agencies providing residential habilitation, day habilitation, supported employment or individualized supported living services attain certification.

Rule	Purpose
Disabilities	
9 CSR 45-5.060 Procedures to Obtain Certification	Describes procedures to obtain certification as a provider of residential habilitation, individualized supported living, supported employment and day habilitation through the community based Medicaid waiver.
9 CSR 45-5.105 Definitions for Fire Safety	Establishes definitions for the fire safety rules.
9 CSR 45-5.110 Fire Safety for On-Site Day Habilitation	Establishes fire safety requirements for on-site day habilitation funded through Medicaid home and community-based waiver.
9 CSR 45-5.130 Fire Safety for Residential Habilitation for 4-9 People	Establishes fire safety requirements for residential habilitation homes serving 4-9 people funded through Medicaid home and community-based waiver.
9 CSR 45-5.140 Fire Safety for Residential Habilitation for 10-16 People	Establishes fire safety requirements for residential habilitation homes serving 10-16 people funded through Medicaid home and community-based waiver.
9 CSR 45-5.150 Fire Safety for Residential Habilitation for 17 or More People	Establishes fire safety requirements for residential habilitation homes serving 17 or more people funded through Medicaid home and community-based waiver.
9 CSR 50-2.010 Admissions to Children's Supported Community Living	Prescribes admissions criteria, the application process and placement procedures for children's supported community living of the Division of Comprehensive Psychiatric Services.
9 CSR 50-2.510 Admissions to Adult Placement Program	Prescribes admissions criteria, the application process and placement procedures for the adult placement program of the Division of Comprehensive Psychiatric Services.
9 CSR 60-1.010 Application for Client Research	Prescribes procedures by which applications for research involving any client or patient or former client or patient are submitted and approved.
9 CSR 60-1.015 Review of Research in Progress	Prescribes the procedures by which the Professional Review Committee may review and investigate research.

Rule	Purpose
9 CSR 80-1.055 Civil Outpatient Detention and Treatment Programs	Defines terms and establishes standards and procedures for program recognition, client admissions and discharges, and performance of civil outpatient detention and treatment programs.